

# Large File Distribution Using Efficient Generation-based Network Coding

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# Outline

System Model and Background

Proposed Scheme: Encoding, Decoding and Scheduling

Analysis and Design of the Proposed Scheme

Simulation Results

Summary

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System Model and Background

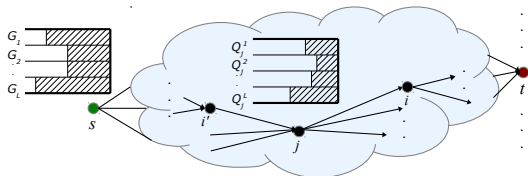
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# Targeted System Model



- ▶ Distribute a large file via a complex network (e.g. cloud system).
- ▶ Duplicate big data archives to different places for easier access.
- ▶ Links are lossy or unreliable: can be modeled as erasure channels.
- ▶ Big data means complex protocol design and coordination messages between nodes (e.g. for ARQ).
- ▶ Motivation: a reliable scheme with **less node coordination** needed.
- ▶ Network coding could be a potential solution.

# Network Coding for Large File Transmission

**Network coding:** allows intermediate nodes to combine incoming packets before forwarding downstream.

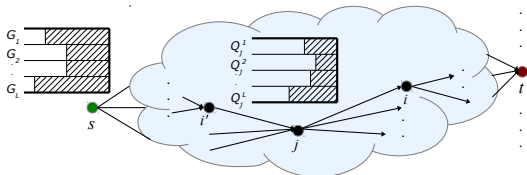
## Benefits:

- ▶ Achieves max-flow capacity of the network.
- ▶ Can be implemented in a distributed way using random linear network coding (RLNC).
- ▶ It is **rateless**, meaning that upstream can keep sending packets while the destinations keep collecting coded packets.
- ▶ No protocol messages are exchanged before sinks finish decoding.

## Challenges

- ▶ Decoding of NC needs to solve a linear system of equations.
- ▶ Using Gaussian elimination, the decoding has cubic complexity.
- ▶ Cost is too high for large files.

# Generation-based Network Coding (GNC)



- ▶ Purpose: reduce decoding complexity of NC.
- ▶ Group a large number of packets into multiple smaller subsets, called **generations**.
- ▶ NC is performed within each generation.
- ▶ **Scheduling** is needed in transmission of generations.
- ▶ Only local scheduling is allowed in order to maintain ratelessness.
- ▶ *Local scheduling* is not network-wide optimal.
- ▶ **Transmission overhead**  $\varepsilon$ :  $N$  source packets need  $(1 + \varepsilon)N$  coded packets to recover the source.
- ▶ Overlapping generations can be used to combat the issue.

# Outline of Contributions

We propose to use overlapping GNC to achieve rateless transmission of large files in a network.

- ▶ A maximum local potential innovativeness strategy is proposed to perform local scheduling.
- ▶ And-or tree analysis technique is used to analyze the performance of overlapping GNC.
- ▶ The optimal amount of overlap among generations can be determined through an optimization problem that based on the and-or tree analysis result.

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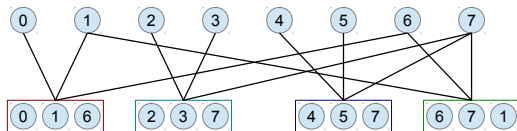
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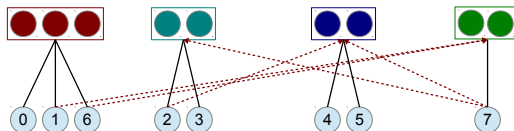
# Overlapping GNC: Grouping and Encoding



- ▶  $N = 8$  packets are **randomly grouped** into  $L = 4$  generations with **replacement**, each with size  $a_R = 3$ .
- ▶ *Overlapping* because some packets are in multiple generations.
- ▶ Make sure that each packet is contained in at least one generation.
- ▶ Amount of overlap is determined by  $a_R$ .
- ▶ Synchronized discrete time system: each node, including the source, sends a packet on each of its outgoing link in each time slot.
- ▶ Coded packets from generation  $l$  are in the form of

$$\mathbf{p}^{(l)} = \sum_{j=1}^{a_R} g_j^{(l)} \mathbf{s}_j^{(l)}.$$

# Overlapping GNC Scheme: Decoding



- ▶ Received packets are buffered according to generation index.
- ▶ Generations are decoded by GE in the finite field.

$$\begin{bmatrix} g_{1,1}^l & \cdots & g_{1,a_R}^l \\ \vdots & \ddots & \vdots \\ g_{N_I,1}^l & \cdots & g_{N_I,a_R}^l \end{bmatrix} \begin{bmatrix} s_1^l \\ \vdots \\ s_{a_R}^l \end{bmatrix} = \begin{bmatrix} r_1^l \\ \vdots \\ r_{N_I}^l \end{bmatrix}$$

- ▶ Decoded packets are substituted to not-yet-decoded generations.
- ▶ One step of GE plus back-substitutions is referred to as an **iteration**.
- ▶ **Decodable**: “# of received innovative packets”  $\geq$  “# of unknowns”.

# Overlapping GNC Scheme: Scheduling

- ▶ **Scheduling**: determine from which generation to form a coded packet.
- ▶ On each outgoing link of the source node, generations are scheduled in a round-robin way.
- ▶ Intermediate nodes choose a generation from those who have packets been buffered.
- ▶ *Maximum local potential innovativeness* (MaLPI) scheduling at intermediate node.

# Maximum Local Potential Innovativeness Scheduling

- ▶ Chooses the generation that is most likely to generate a useful packet for downstream.
- ▶ Intermediate node  $j$  chooses the generation of the index

$$l_{ji}^*(n) = \arg \max_l (|Q_j^l(n)| - S_{ji}^l(n))$$

on its outgoing link  $(j, i)$ .

- ▶  $Q_j^l(n)$  - the number of buffered packets of generation  $l$  at  $j$ .
- ▶  $S_{ji}^l(n)$  - the number of scheduled times of  $l$  on link  $(j, i)$ .
- ▶ Local buffer status is utilized, but no node-coordination.
- ▶ Previous works use **random scheduling**, which randomly picks up a generation regardless of buffer status.
- ▶ The strategy is shown to have the lowest probability to generate a useless packet when the scheduling is performed locally.

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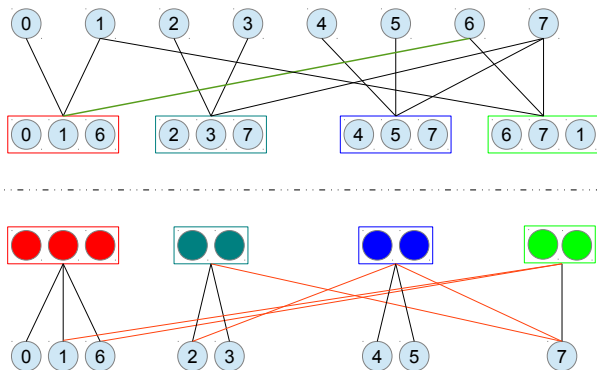
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## Revisit the Encoding and Decoding Example



- ▶ Some numbers of coded packets for each generation are received.
- ▶ Decoding is a combination process of **Gaussian elimination** and **back-substitution** between generations.
- ▶ A kind of **message-passing process** on the bipartite graph.

# Decoding Analysis: And-Or Tree Analysis

- ▶ The message-passing modeling makes analysis possible.
- ▶ **Task:** Determine/predict the decodability of generation construction for given numbers of received packets of each generation.
- ▶ Treat the generation construction as a random bipartite graph connecting packets and generations on two sides.
- ▶ **Packet-side degrees:**  $\Psi(x) = \sum_{i=1}^L \Psi_i x^i$ , where  $\Psi_i$  denotes the probability that a packet is contained in  $i$  generations.
- ▶ **Generation-side degree** is a constant: generation size.
- ▶ Each generation is associated with an *unknown degree* during the decoding, which equals to the number of undecoded packets it connects and **evolves** as the decoding proceeds.
- ▶ Decoded packets will **decrease** unknown degrees of generations who also contain them, resembling a message-passing mechanism.

# Decodability in Iterations

Given the number of sent packets from each generation,  $n_g$ , the end-to-end erasure probability,  $\epsilon$ , generation size  $a_R$ , packet-node degree distribution  $\lambda(x) = \Psi'(x)$ , we can write the probability that **one generation is not decodable** in one iteration as an iterative function of itself in the previous iteration,  $y$ :

$$\begin{aligned} & f(\lambda(y), \tau_{n_g, \epsilon, \mu}, a_R) \\ &= \sum_{\mu=a_R}^{n_g} \tau_{n_g, \epsilon, \mu} (1 - p_{a_R, \mu}) \\ & \quad + \sum_{\mu=0}^{a_R-1} \tau_{n_g, \epsilon, \mu} \sum_{k=0}^{\mu-1} \binom{a_R-1}{k} (\lambda(y))^k (1 - \lambda(y))^{a_R-1-k} (1 - p_{k+1, \mu}) \\ & \quad + \sum_{\mu=0}^{a_R-1} \tau_{n_g, \epsilon, \mu} \sum_{k=\mu}^{a_R-1} \binom{a_R-1}{k} (\lambda(y))^k (1 - \lambda(y))^{a_R-1-k}, \end{aligned}$$

Iteration begins from  $y = 1$ , i.e., when no generations are decoded.



## Decodability in Iterations (Cont'd)

- ▶ Iteration begins from  $y = 1$ .
- ▶ Iteration ends at  $y = \delta = \inf\{f(\lambda(y), \tau_{n_g, \epsilon, \mu}, a_R)\}$ .
- ▶ In reality,  $\delta$  corresponds to not-decodable generation fraction in the end.
- ▶ Precode can be used to recover this last fraction,  $\delta$  is related to the precode rate.
- ▶ To successfully decode a  $(1 - \delta)$  fraction of generations, we need

$$f(\lambda(y), \tau_{n_g, \epsilon, \mu}, a_R) < y, \quad y \in [\delta, 1]$$

- ▶ For randomly grouped generations,  $\lambda(x)$  is shown to be

$$\lambda(x) \approx \left( \frac{d_R}{a_R} + \left(1 - \frac{d_R}{a_R}\right) x \right) e^{-(a_R/d_R - 1)(1-x)}$$

- ▶ Analysis relates overhead ( $n_g$ ) with generation construction parameters,  $a_R$  and  $\lambda(x)$ .

# Estimate Optimal $a_R$ Using the Analysis Result

**Task:** Finding the optimal amount of overlap, i.e.,  $a_R$  such that the fewest transmissions are wasted, or equivalently the highest rate is achieved.

$$\begin{aligned} & \underset{a_R}{\text{minimize}} && n_g \\ & \text{subject to} && f(\lambda(y), \tau_{n_g, \epsilon, \mu}, a_R) < y, \quad y \in [\delta, 1], \end{aligned}$$

- ▶ Minimize the number of packets needed to be sent from the source.
- ▶ Equivalently minimizing the number of wasted transmissions from an end-to-end viewpoint.

# Estimate Optimal $a_R$ for Multiple Users

$$\begin{aligned} & \underset{a_R}{\text{minimize}} && \frac{1}{T} \sum_{t=1}^T n_T (1 - \epsilon_t) \\ & \text{subject to} && f(\lambda(y), \tau_{n_T, \epsilon_t, \mu}, a_R) < y, \quad \forall t, y \in [\delta, 1], \end{aligned}$$

- ▶  $n_T$  packets per generation are sent from the source.
- ▶  $\epsilon_t$  is the end-to-end erasure probability between source and user  $t$
- ▶ Minimize  $n_T$  subject to that **all users can decode  $(1 - \delta)$  fraction of generations.**

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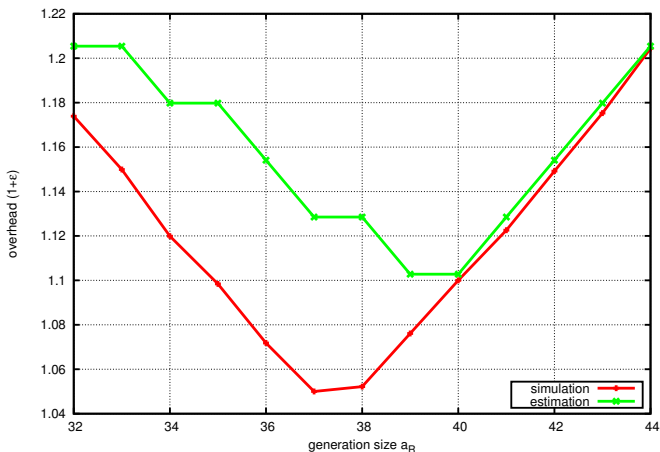
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## Why Estimating $a_R$ is Important?



- ▶  $N_s = 65536$  source packets, precoded to  $N = 67229$  packets and grouped into  $L = 2101$  generations.
- ▶ End-to-end lossy link:  $\epsilon = 0.2$ ,  $\delta = 0.02$ .

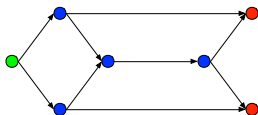
## Using Estimated $a_R$

- ▶ Estimated optimal  $a_R$  vs. Actual optimal  $a_R$ .
- ▶ Actual optimal  $a_R$  is obtained by extensive simulations.

$\epsilon$	estimated $a_R$	$1 + \hat{\epsilon}$	optimal $a_R$	$(1 + \bar{\epsilon})$
0.1	37	1.0587	36	1.0447
0.2	39	1.0752	38	1.0522
0.3	40	1.0754	39	1.0547
0.4	41	1.0788	39	1.0515
0.5	42	1.0842	40	1.0484
0.6	43	1.0916	41	1.0567

- ▶ Estimated  $a_R$  and the corresponding overhead performance are close to the actual optimal.

# Using MaLPI Scheduling and Estimated $a_R$ in Networks



- ▶ Simulated in a butterfly network, each link with erasure prob  $\epsilon$ .
- ▶ Max-flow capacity:  $2(1 - \epsilon)$ .
- ▶ Compare to random scheduling and when no overlap is introduced.

	Random Scheduling		MaLPI	
	rate	operations	rate	operations
$\epsilon = 0.1, a_R = 32$	1.0579	37.10	<b>1.2452</b>	34.35
$\epsilon = 0.1, \hat{a}_R = 37$	<b>1.1767</b>	42.80	1.5148	39.82
$\epsilon = 0.2, a_R = 32$	0.9300	37.23	<b>1.1178</b>	34.80
$\epsilon = 0.2, \hat{a}_R = 39$	<b>1.0795</b>	45.54	1.3034	43.14

- ▶ Achieves 80% capacity of the lossy butterfly network **without node coordination**.

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# Summary

- ▶ **Our target:** achieve rateless transmission of large files over networks.
- ▶ **Our solution:** use overlapping generation-based network coding.
- ▶ MaLPI: generations are scheduled using local information in the middle.
- ▶ An and-or-tree analysis technique is used to analyze overlapping GNC.
- ▶ Improve generation construction by optimizing.

# Questions?

Thank you!